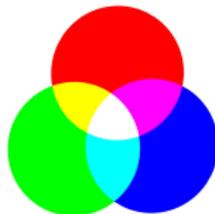


Mathematics and Colour

Professor Nick Higham
Director of Research
School of Mathematics
The University of Manchester

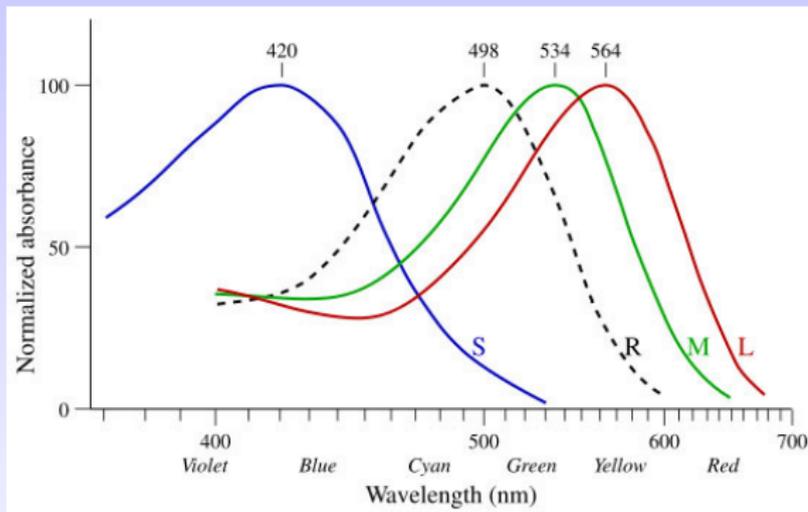
`nick.higham@manchester.ac.uk`

`http://www.manchester.ac.uk/~higham/`



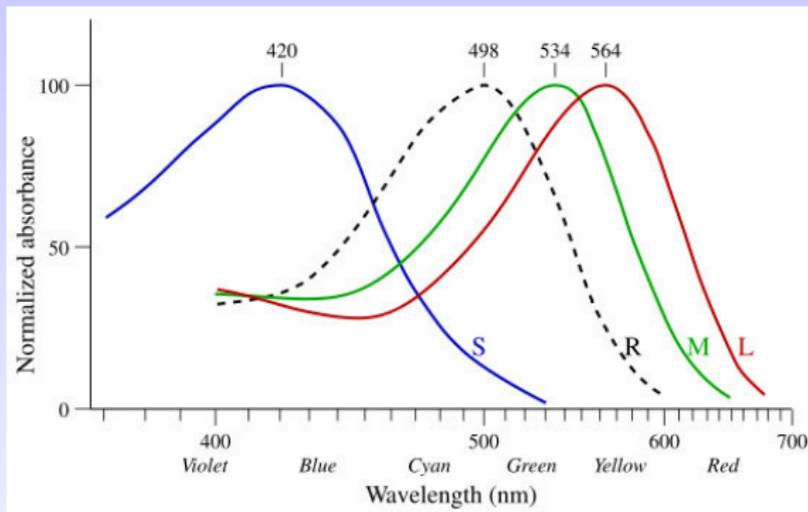
What is Colour?

- Human retina has 3 types of cones.



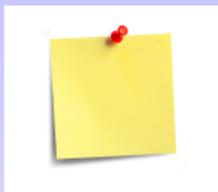
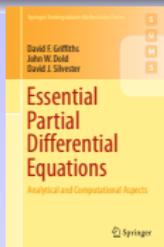
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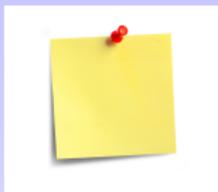


- Colour space is 3-dimensional (“trichromatic theory”).
- Can mathematics help us understand colour?

There's Something about Yellow

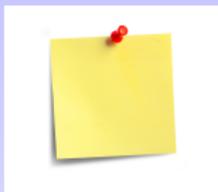
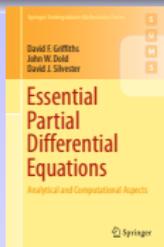


There's Something about Yellow

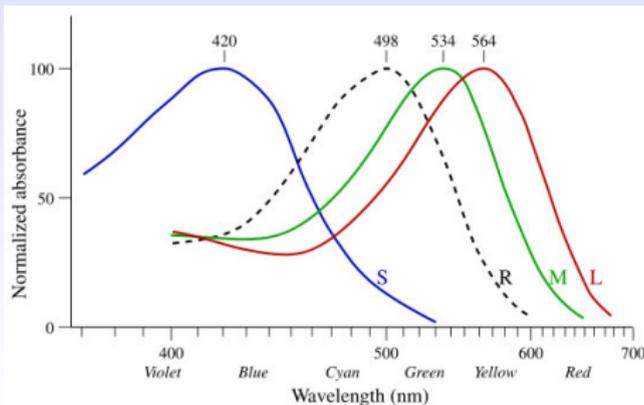
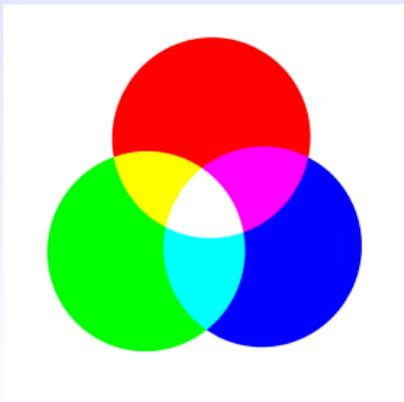


- Why does yellow appear so bright?

There's Something about Yellow



■ Why does yellow appear so bright?



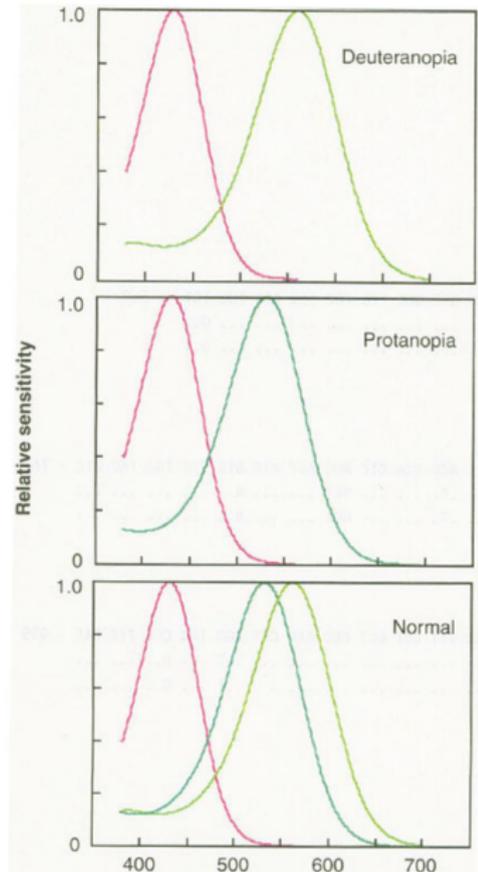
Colour Blindness

SCIENCE • VOL. 267 • 17 FEBRUARY 1995

The Chemistry of John Dalton's Color Blindness

David M. Hunt,* Kanwaljit S. Dulai, James K. Bowmaker,
John D. Mollon

- John Dalton (1766–1844).
- Described his own c.b. in lecture to M/cr Lit & Phil Soc, 1794.
- He was a deuteranope.



Vector Space Model of Colour (1)

- Model responses of the 3 cones as

$$c_i = \int_{\lambda_{\min}}^{\lambda_{\max}} s_i(\lambda) f(\lambda) d\lambda, \quad i = 1 : 3,$$

where f = spectral distrib. of light, s_i = sensitivity of i th cone, $[\lambda_{\min}, \lambda_{\max}]$ = wavelengths of visible spectrum.

Vector Space Model of Colour (1)

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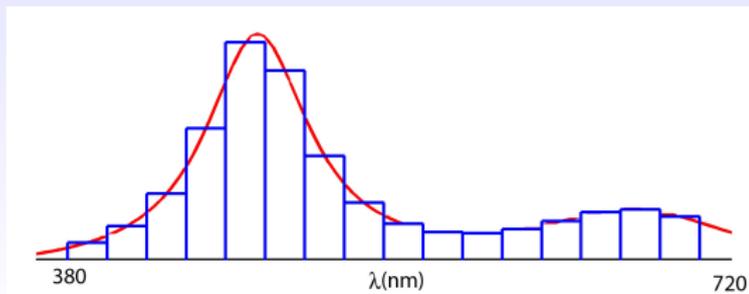
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- Discretizing** gives

$$c = S^T f, \quad c \in \mathbb{R}^3, \quad S \in \mathbb{R}^{n \times 3}, \quad f \in \mathbb{R}^n.$$

For standardized S , c is the **tristimulus** vector.



Vector Space Model of Colour (2)

- Let columns of $P = \underbrace{[p_1 \ p_2 \ p_3]}_{n \times 3}$ be **colour primaries**.

- Assuming $S^T P$ is nonsingular,

$$S^T f = \underbrace{S^T P}_{3 \times 3} \cdot (S^T P)^{-1} S^T f \equiv S^T \cdot P a(f),$$

where $a(f) = (S^T P)^{-1} S^T f$.

Colour of any spectrum f can be matched by primaries.

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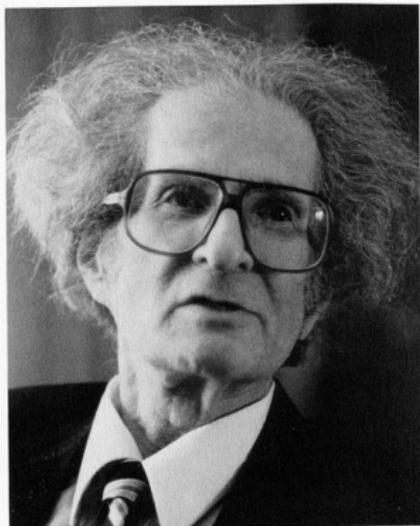
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Colour of any spectrum f can be matched by primaries.

- ▶ Need $a_i \geq 0 \Rightarrow$ not all visible spectra can be produced. Compensate $a_i < 0$ by adding $|a_i|p_i$ to f ,
- ▶ There exist spectra $f, g, f \neq g$, such that $\underbrace{S^T}_{3 \times n} f = S^T g$: **metamers**. Both good and bad.



Jozef B. Cohen, 1921-1995 (Photo by Jerry Thompson)

VISUAL

COLOR



AND

COLOR

MIXTURE

The Fundamental
Color Space

Jozef B. Cohen

UNIVERSITY OF ILLINOIS PRESS
URBANA AND CHICAGO

R Matrix Theory of Cohen

Cohen (2001) stresses the importance of

$$R = S(S^T S)^{-1} S^T = SS^+,$$

the orthogonal projector on $\text{range}(S)$.

- Independent of the choice of primaries used for colour matching ($S \leftarrow SZ$).
- F matrix defined as Q in the factorization $S = QL$ ($Q \in \mathbb{R}^{n \times 3}$, $Q^T Q = I$, $L \in \mathbb{R}^{3 \times 3}$ lower triangular).
- Proposes use of *tricolor coordinates* $F^T f$.

A Nonlinear, Imperfect World

Limitations on how far the mathematical model can take us.

- We all see colour slightly **differently**.
- Our eyes do not behave **linearly**.
- Brain processing of colour is complicated (**colour temp**, **opponent-process theory**) and leads to various illusions.
- Most colours we see are **artificially generated**: camera, screen, print, paints, ... *all these devices have limitations*.

CMYK

All printing is done using four colours: **cyan**, **yellow**, **magenta**, and **black**.

$C + M + Y = K = \text{black}$.



One *redundant coordinate*. Why do we need K?

All printing is done using four colours: **cyan**, **yellow**, **magenta**, and **black**.

$C + M + Y = K = \text{black}$.



One *redundant coordinate*. Why do we need K?

- Printing 3 layers makes the paper very wet.
- Black as 3 layers requires accurate registration.
- $C + M + Y$ will not give a true, deep black due to ink imperfections.
- Coloured ink is more expensive.

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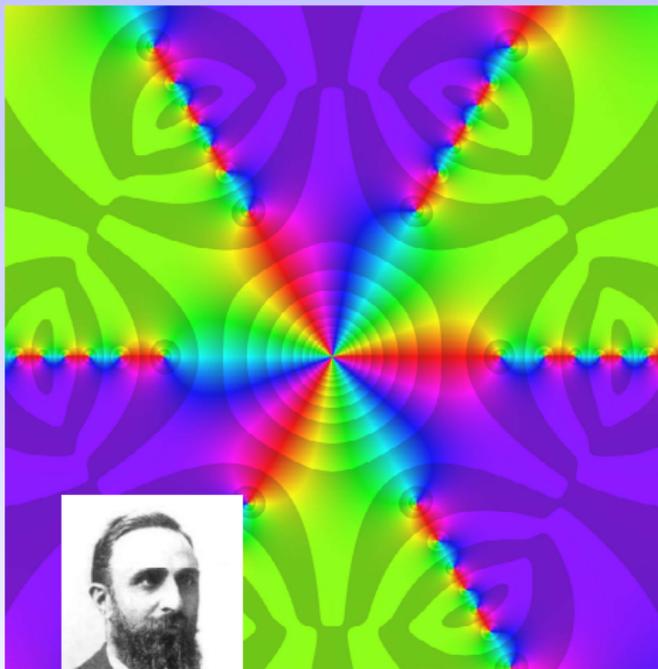
- Printing 3 layers makes the paper very wet.
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- $C + M + Y$ will not give a true, deep black due to ink imperfections.
- Coloured ink is more expensive.

What order to lay down the inks?

CMYK or KCMY are standard. Note that

$$C + M + Y \neq M + C + Y.$$

Complex Beauties Calendar [[link](#)]

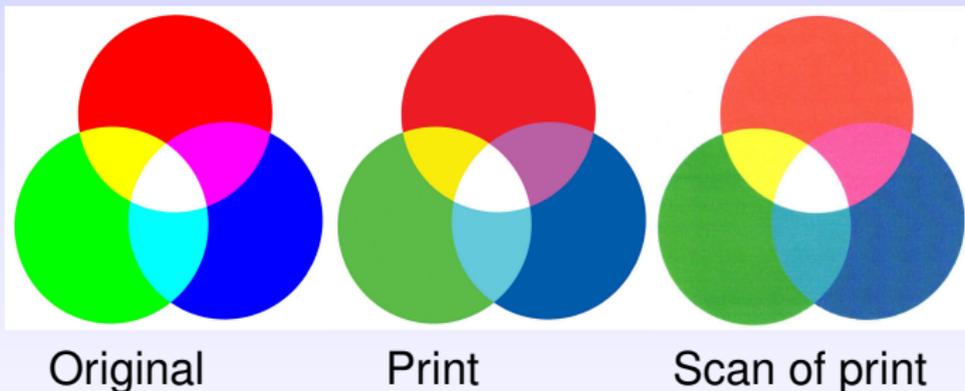


March

Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4	5	6	7	8	9	10	11
12	13	14	15	16	17	18	19	20	21	22	23	24	25
26	27	28	29	30	31								

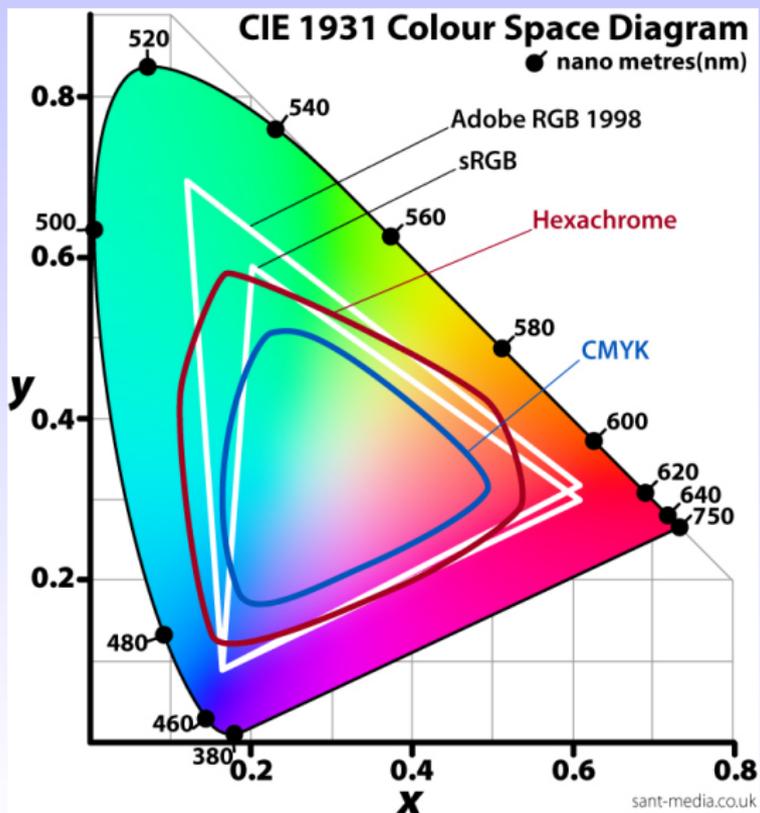
CMYK vs RGB

- CMYK produces a different range of colors than RGB. Cannot produce some of the brilliant blues.
- Whenever we print a document on a laser printer we view a CMYK representation of the colors.

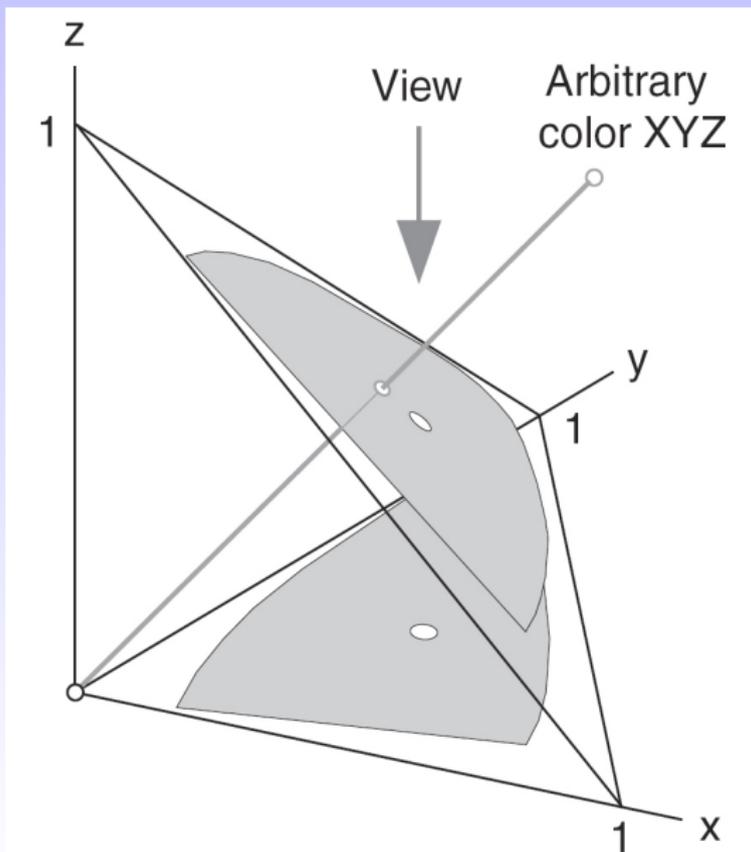


CIE Chromacity Coordinates

Projective transformation of 3-dimensional colour space.



Projective Transformation

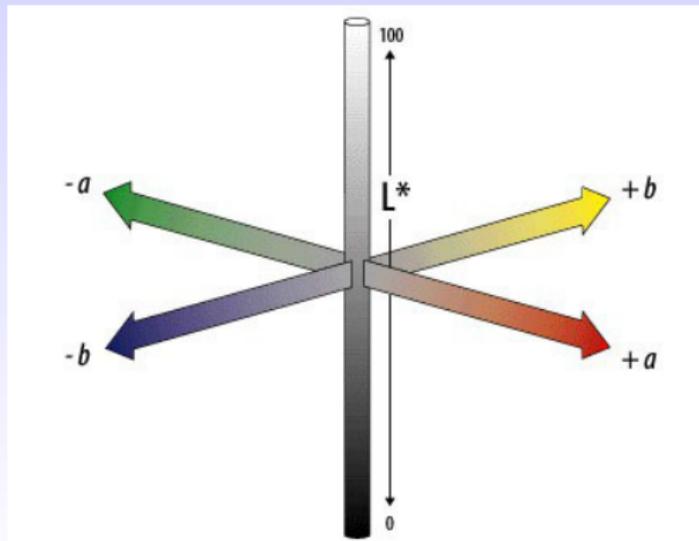


Excursion into LAB Space

Change from RGB space to **CIE L*a*b*** (**LAB**, 1976):

L = lightness, **A** = green–magenta, **B** = blue–yellow.

- Separates luminosity from colour.
- More perceptually uniform.



Transformation XYZ \rightarrow LAB

Let X_n, Y_n, Z_n be tristimuli of white stimulus.

$$L = 116f(Y/Y_n) - 16,$$

$$A = 500 [f(X/X_n) - f(Y/Y_n)],$$

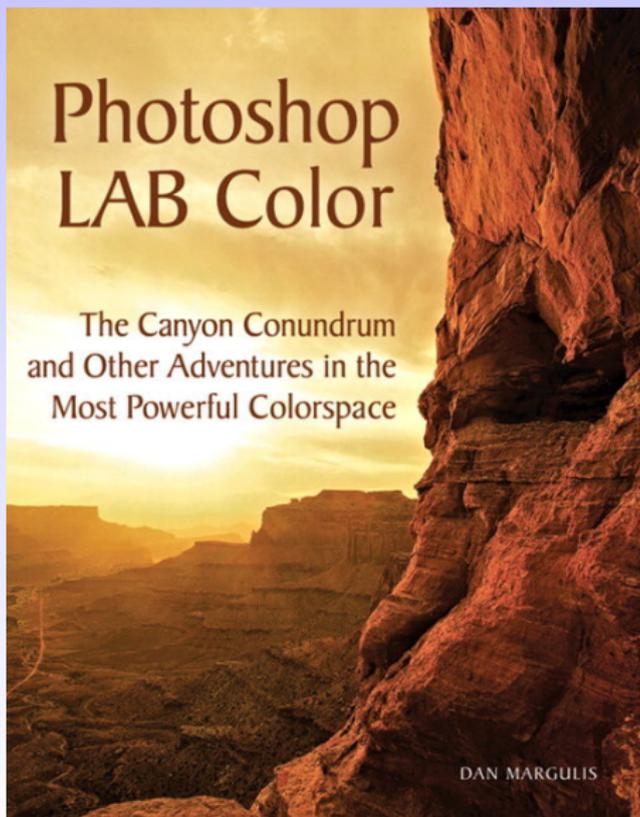
$$B = 200 [f(Y/Y_n) - f(Z/Z_n)].$$

where

$$f(x) = \begin{cases} x^{1/3}, & x \geq 0.008856, \\ 7.787x + \frac{16}{116}, & x \leq 0.008856. \end{cases}$$

- Range: $0 \leq L \leq 100$.
- $A = B = 0 \Rightarrow$ no colour.
- Euclidean distance used as colour difference metric.

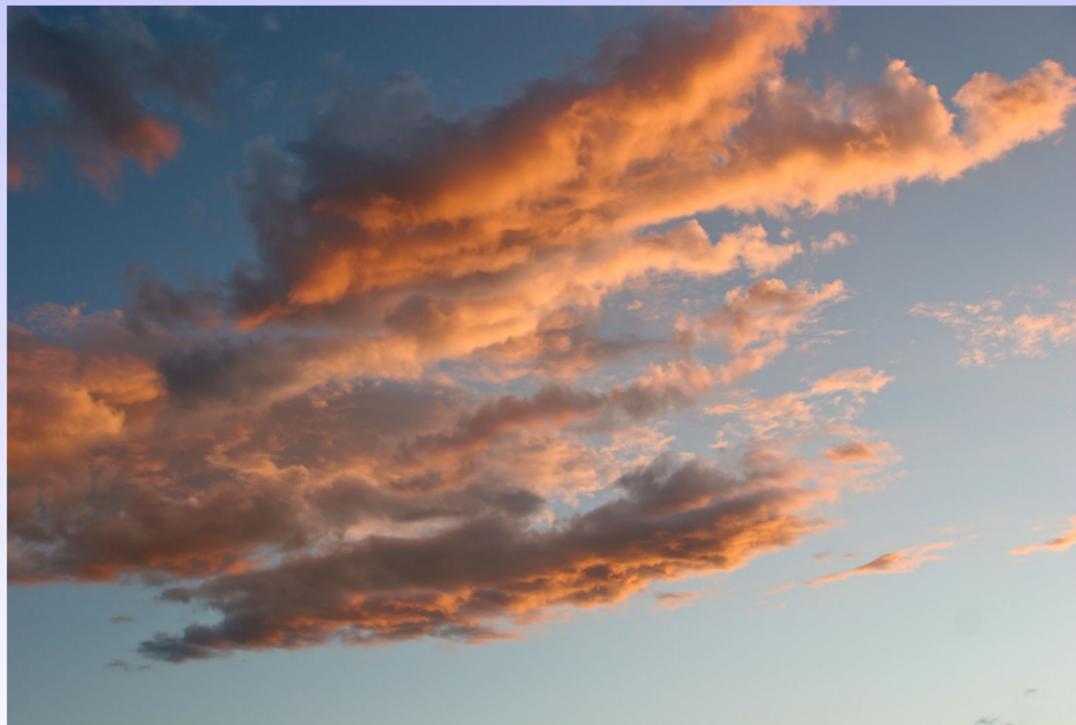
Dan Margulis on LAB (2006)



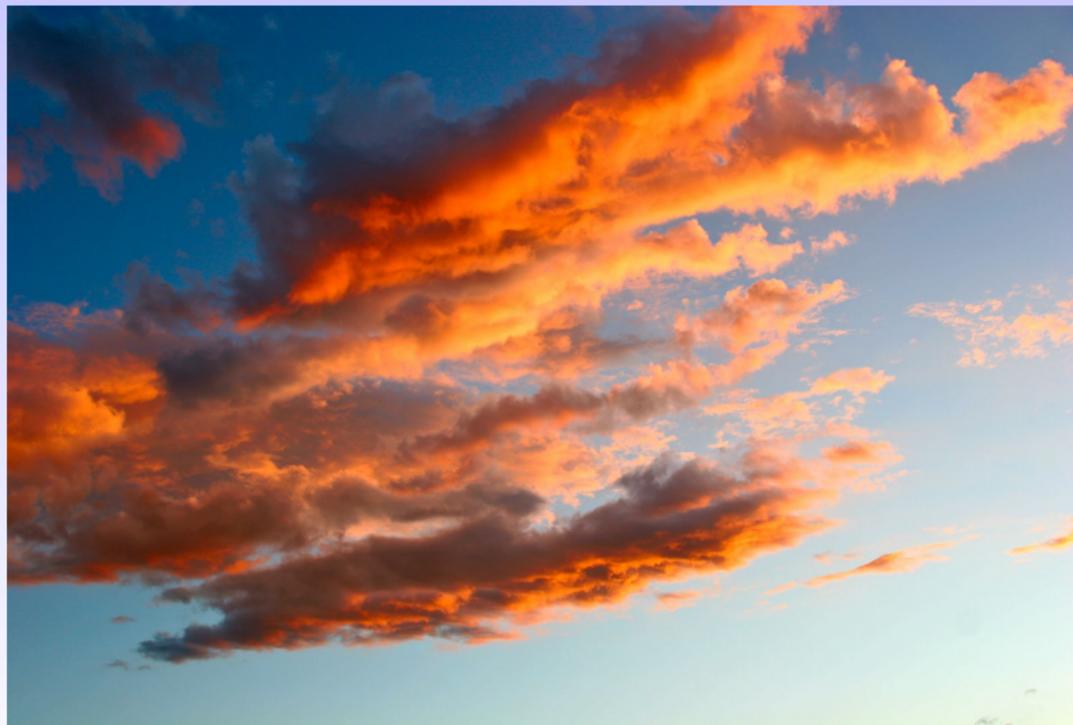
Editing in LAB

- LAB separates luminosity (L) from colour (A,B).
- Colour noise can be handled by blurring the A, B channels.
- Much bigger space than sRGB with many **imaginary** colours.
- Good for boosting contrast, enhancing colours, and sharpening.

LAB Example: Original

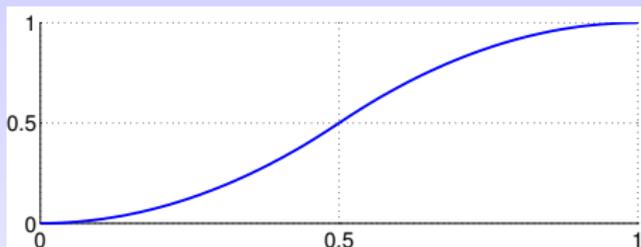


LAB Example: Via LAB

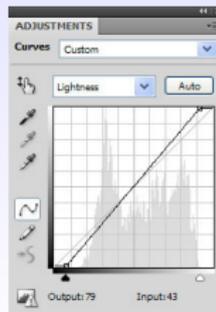


LAB Example: Explanation

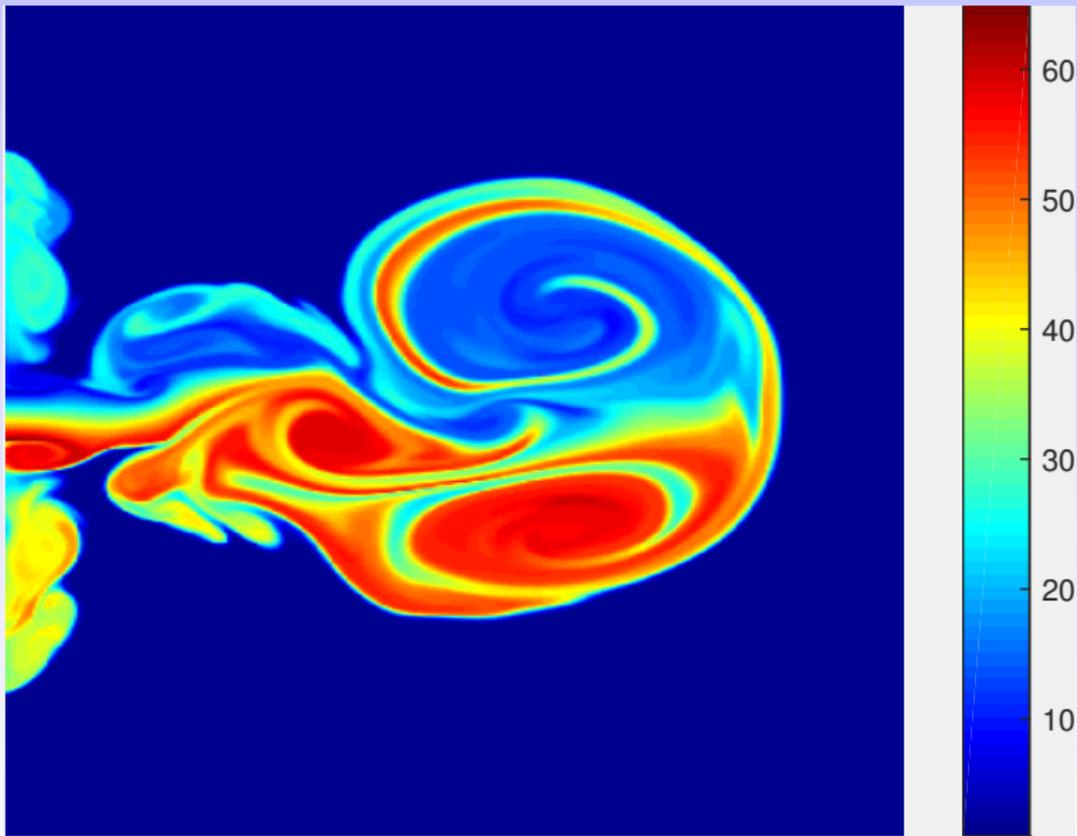
- 1 Convert from RGB to LAB.
- 2 **Apply Image** to itself in overlay mode:
 $L \leftarrow f(L)$, $A \leftarrow f(A)$, $B \leftarrow f(B)$, where



- 3 **Apply Image**: $L \leftarrow 75\% \text{ old } L + 25\% \text{ new } L$.
- 4 Curves adjustment on L channel:

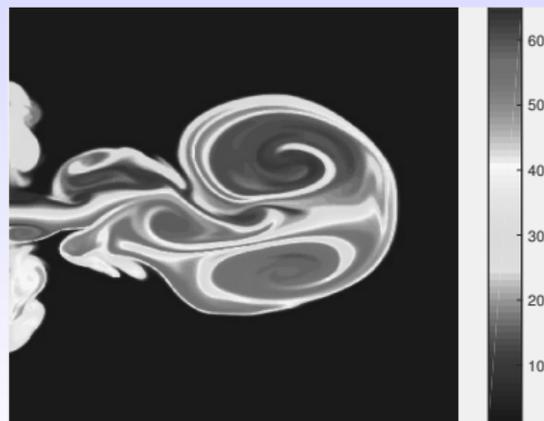
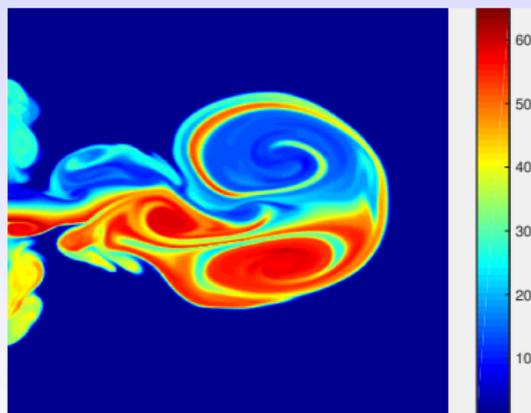


Rainbow Colour Maps

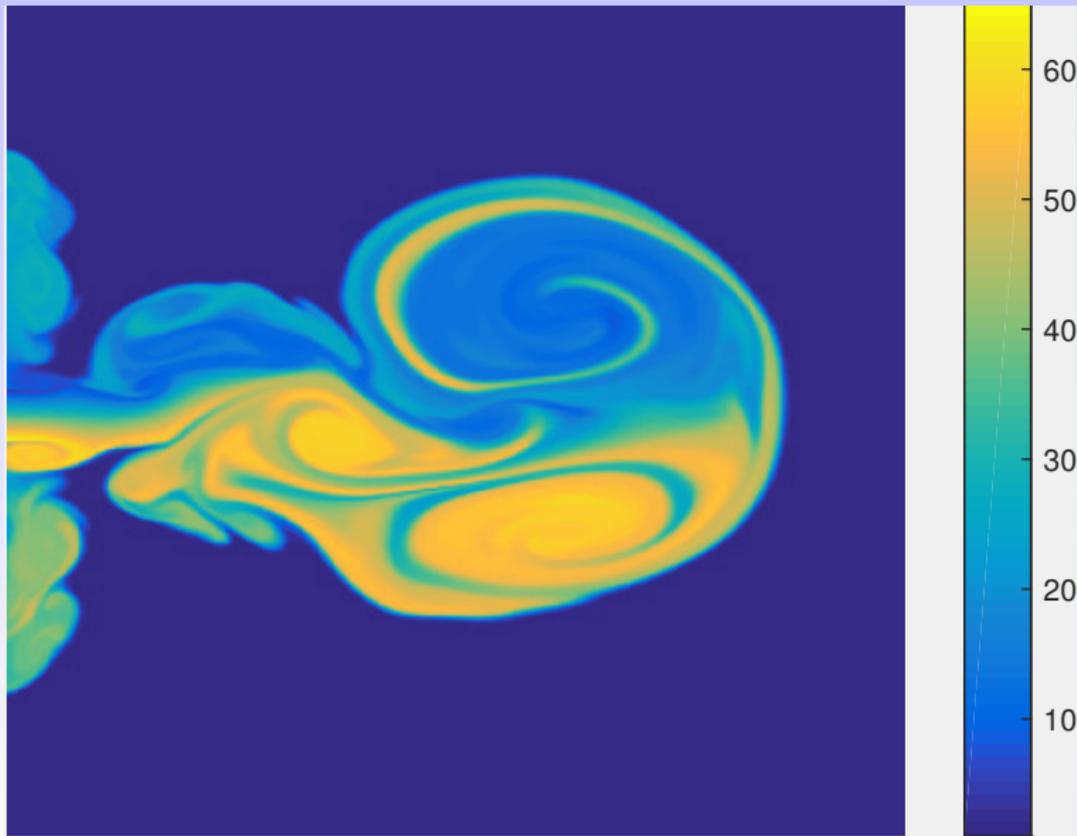


Rainbow Considered Harmful

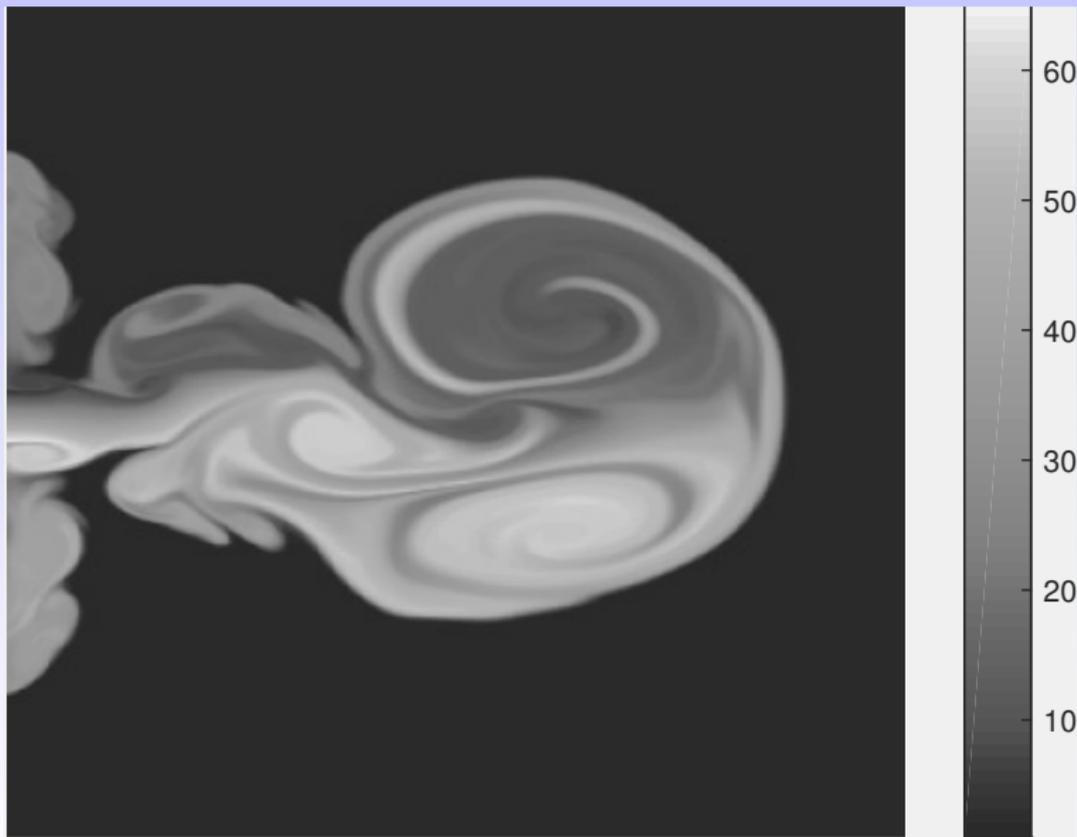
- Not perceptually uniform: colours change at different rates.
- Confusing: no natural ordering (ROYGBIV).
- Introduces artefacts: sharp transitions between hues.
- Loses information in grayscale.



MATLAB Parula Colour Map (2014)



MATLAB Parula Colour Map (2014)



Adobe Photoshop



- Photoshop 1.0 (Mac), 1990.
- Market leader for commercial bitmap/image manipulation.
- Supports RGB, LAB, CMYK.
- Excels in non-destructive editing (layers).
- “Adobe Photoshop software includes a counterfeit deterrence system (CDS) that prevents the use of the product to illegally duplicate banknotes.”

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Adobe Photoshop CS4 Extended



This application does not support the editing of banknote images.

For more information, select the information button below for Internet-based information on restrictions for copying and distributing banknote images or go to www.rulesforuse.org.

Information

Cancel

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JPEG (1992) stores RGB images in **compressed** form.

It converts from RGB to YC_bC_r **colour space** where

Y = luminance, C_b = blue, C_r = red by

$$\begin{bmatrix} Y \\ C_b \\ C_r \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.114 \\ -0.1687 & -0.3313 & 0.5 \\ 0.5 & -0.4187 & -0.0813 \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}.$$

- Transformation must be **inverted** to display a JPEG image.
- Human vision more sensitive to **luminance** than **colour**, so can more heavily compress C_b , C_r coordinates.

Fingerprints—FBI

- Digitized at 500dpi \Rightarrow 10Mb. Compression \gtrsim 10:1 req'd.
- Standardized on wavelet compression (1993).
- Jpeg: resonance of 8-pixel tiling w/ 500dpi scans, many edges.
- Wavelets: gradual blurring as compression increased.



XYZtoCamera matrix is $n \times 3$, $n = \dim$ of camera colour space, usually 3 or 4.

Translating Camera Neutral Coordinates to White Balance xy Coordinates

- 1 Guess an xy value. Use that guess to find the interpolation weighting factor between the color calibration tags. Find the XYZtoCamera matrix as above.
- 2 Find a new xy value by computing:
$$\text{XYZ} = \text{Inverse}(\text{XYZtoCamera}) * \text{CameraNeutral}$$

(If the XYZtoCamera matrix is not square, then use the **pseudo inverse**.)
- 3 Convert the resulting XYZ to a new xy value.
- 4 **Iterate** until the xy values converge to a solution.

Rounding Errors

- Every editing operation executes $p_{ij} = \text{round}(f_{ij}(p_{ij}))$.
- Rounding errors can potentially cause deterioration.



- Controversy over 8-bit vs. 16-bit editing.
- Controversy over colour space: choice & conversions.

Arithmetic on Images: Brightening

Simple arithmetic on images $(+, *, -, /)$ can be very effective!

Let $R, G, B \in [0, 1]$ with

$$\text{black} = (0, 0, 0), \quad \text{white} = (1, 1, 1).$$

To **brighten an image** we need to increase the coordinates.

Original



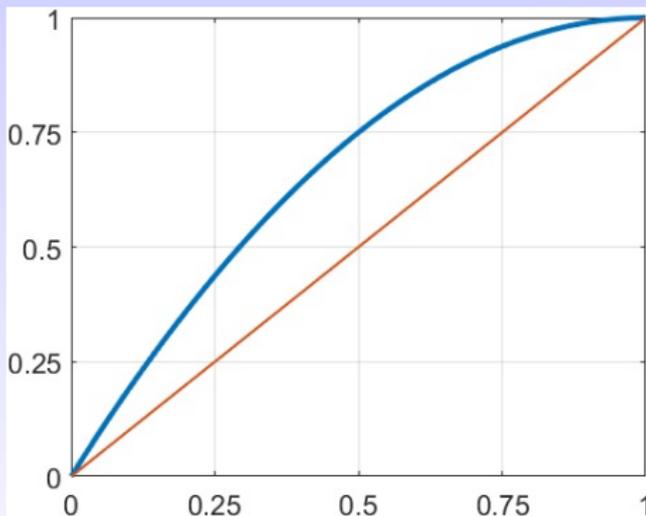
Simple Brightening Transformation



Better Brightening Transformation

Map each coordinate

$$x \leftarrow 1 - (1 - x)^2.$$



Photoshop: Apply Image with Screen Blending Mode

Pixel-Dependent Brightening



Final Image



Change Autumn into Summer



Looking at the Numbers

Sample colours from photo.

- Typical RGB values for green tree leaves:

$$(R, G, B) = (110, 103, 53), (50, 55, 12), (135, 125, 81).$$

Looking at the Numbers

Sample colours from photo.

- Typical RGB values for green tree leaves:

$$(R, G, B) = (110, 103, 53), (50, 55, 12), (135, 125, 81).$$

- Typical RGB values for yellow tree leaves:

$$(R, G, B) = (250, 193, 73), (152, 88, 90), (194, 112, 18).$$

Looking at the Numbers

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$$(R, G, B) = (250, 193, 73), (152, 88, 90), (194, 112, 18).$$

Solution

Make $R = G$ by copying the green coordinates into the red.

It's Summer



Original



With Mask to Protect Sky



Repainting University Place



RePainted



Flip Sign of A Channel

- UoM turquoise is $(L, A, B) \approx (85, -12, -3)$.
- Convert to LAB then $A \leftarrow -A$.
- Now have $(L, A, B) \approx (85, 12, -3)$.





















Mean



Median







Variance



Summary

- Maths intrinsic to **modelling colour**, and defining, analyzing and exploiting colour spaces.
- Can go a long way in **manipulating the colour of images** with elementary maths.
- All the maths needed to understand colour is covered in the Manchester **honours degree maths programme**.

Talk, including references, available at

http://www.maths.manchester.ac.uk/~higham/talks/digphot_long.pdf

Acknowledgements for Graphics

- **Wikipedia:**

`http://en.wikipedia.org/wiki/Image:CIE1931_XYZCMF.png`

`http://upload.wikimedia.org/wikipedia/commons/b/b0/CIExy1931.png`

`http://en.wikipedia.org/wiki/Bayer_filter`

- `http://www2.cmp.uea.ac.uk/Research/compvis/ColourIntro/ColourIntro.htm`

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